

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Ronald C. Rubenstein and William Reenstra

Application No.: 09/970,843

Filed: October 4, 2001

For: COMPOSITIONS AND METHODS FOR TREATMENT OF CYSTIC FIBROSIS

COPY OF PAPER  
ORIGINALLY FILED

Certificate of Mailing Under 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited on December 18, 2001 with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, DC 20231.

December 18, 2001  
Date of Certificate

Jane C. Bogan  
Jane C. Bogan

Hon. Commissioner of Patents and Trademarks

Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application dated November 6, 2001 a copy of which is enclosed herewith, we are completing the above-identified U.S. Patent Application of Ronald C. Rubenstein and William Reenstra, U.S. Patent Application No. 09/970,843.

The Notice to File Missing Parts required submission of:

Basic Filing Fee, Oath or Declaration; Declaration Surcharge.

We are hereby submitting:

Check in the amount of \$435.00

(Basic Filing Fee \$370.00 & Declaration Surcharge \$65.00)

2 Sheets of Declaration, Power of Attorney and Power to Inspect;

Copy of Notice to File Missing Parts

Fee Transmittal Sheet (in duplicate)

**Applicant claims Small Entity Status.**

In the event the check is improper, or the fee calculation is in error, the Commissioner is authorized to charge any underpayment or credit any overpayment to the account of the undersigned attorneys, Account No. 04-1406.

[ X ] A duplicate copy of this sheet is enclosed.

09/970,843

[ X ] Please address all communications to the following Correspondence Address:

Kathleen D. Rigaut, Ph.D., J.D.

**DANN, DORFMAN, HERRELL AND SKILLMAN**

1601 Market Street, Suite 720

Philadelphia, Pennsylvania 19103-2307

Telephone (215) 563-4100

Facsimile (215) 563-4044

Direct all inquiries to the undersigned agent

Respectfully submitted,

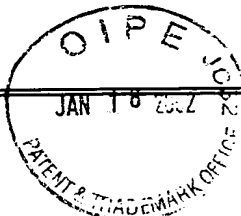
DANN, DORFMAN, HERRELL AND SKILLMAN

A Professional Corporation

By: 

Kathleen D. Rigaut, Ph.D., J.D.

PTO Registration No. 43,047



# FEE TRANSMITTAL

Complete if known

Application Number 09/970,843

Filing Date October 4, 2001

First Named Inventor RUBENSTEIN, Ronald C.

Group Art Unit

Examiner Name

COPY OF PAPERS  
ORIGINALLY FILED

TOT. AMT. OF PAYMENT: (1)+(2)+(3) = \$ 435.00

Attorney Docket Number CHOP.0107

## METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to:

- ☐ Charge indicated fees  
☒ Charge additional fees  
☒ Credit overpayments

to the account of DANN, DORFMAN, HERRELL AND SKILLMAN

Deposit Account Number 04-1406

2. Payment enclosed:

Checks in the amount of \$ 435.00

Check Nos. \_\_\_\_\_

## FEE CALCULATION

### 1. FILING FEE

Fee Description	Fee Paid
Utility filing fee	370.00
Design filing fee	
Plant filing fee	
Reissue filing fee	
Provisional filing fee	

SUBTOTAL (1) \$ 370.00

### 2. CLAIMS

Extra Fee Fee Paid  
Total Claims  
Presented 18 - 20 = 0 x 9.00 = 0

(a)

Independent  
Claims

Presented 2 - 3 = 0 x 42.00 = 0

(b)

Multiple Dependent Claim  
(first presentation)

= 0

(a) Enter 20 or number previously paid for

(b) Enter 3 or number previously paid for

SUBTOTAL (2) \$ 0

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Fee Description	Fee Paid
Surcharge-late filing fee or oath	65.00
Surcharge - late provisional filing fee or cover sheet	
Non-English specification	
For filing a request for reexamination	
Requesting publication of SIR prior to Examiner action	
Requesting publication of SIR after Examiner action	
Extension for response within first month	
Extension for response within second month	
Extension for response within third month	
Extension for response within fourth month	
Notice of Appeal	
Filing a brief in support of an appeal	
Request for oral hearing	
Petition to institute a public use proceeding	
Petition to revive unavoidably abandoned application	
Petition to revive unintentionally abandoned application	
Issue fee	
Petitions to the Commissioner	
Petitions related to provisional applications	
Submission of Information Disclosure Stmt.	
Recording each patent assignment per property (times number of properties)	
Filing a submission after final rejection (37 CFR 1.129(a))	
For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) Advance Order (10 copies)	
Other fee (specify)	

SUBTOTAL (3) \$ 65.00

Submitted By:

Typed or

Printed Name Kathleen D. Rigaut, Ph.D., J.D.

Signature

*Kathleen D. Rigaut*

Reg. Number 43,047

Date December 18, 2001

Deposit Account User ID

04-1406

JAN 18 2002



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/970,843	10/04/2001	Ronald C. Rubenstein	CHOP.0107

000110  
DANN DORFMAN HERRELL & SKILLMAN  
SUITE 720  
1601 MARKET STREET  
PHILADELPHIA, PA 19103-2307

CONFIRMATION NO. 1601

FORMALITIES LETTER



\*OC00000007028341\*

COPY OF PAPER  
ORIGINALLY FILED

Date Mailed: 11/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 870.

*A copy of this notice **MUST** be returned with the reply.*

W. Koroma  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/24/2002 SDIRETA1 00000098 09970843

01 EE:201  
02 EE:205

370.00 BP  
85.00 SF